



Docket No. 53196-00002 JVM 0968/P/JO 11/13/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Vladimir Nikolaevich PAK et al.		RECEIVEL				
		NOV 0 7 2002				
Serial No.: 09/885,645)	Examiner: Anthony C. Caputa TECH CENTER 1600/2900				
Confirmation No. 4188)					
Filed: June 20, 2001)	Group Art Unit: 1642				

METHOD FOR TREATMENT OF MALIGNANT NEOPLASMS AND A COMPLEX

PREPARATION HAVING ANTIMALIGNANT ACTIVITY

BOX: NON-FEE AMENDMENT

Commissioner for Patents Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited postage paid with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231

on 10-31-82

Signature Cheryl Gibson

Dear Sir:

For:

RESPONSE TO RESTRICTION REQUIREMENT

This Response is being filed in response to the Restriction Requirement mailed October 1, 2002. Applicants respond as follows:

Restriction to one of the following inventions has been required under 35 U.S.C. § 121:

- I. Claim 1, drawn to a method of treatment of malignant neoplasms.
- II. Claims 2-4, drawn to a complex preparation.

The Examiner has stated that Groups I and II are distinct from one another because "...Inventions I and II are related as product and process of use. The Inventions can be shown to be distinct if either or both of the following can be shown: (1) the process for using the product as claimed can

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be practiced with another materially different product or (2) the product as claimed can be used in a materially different process of using that product (MPEP § 806.05(h)). In the instant case the alpha-fetoprotein of Invention II can be used in the materially different process of affinity purification of antibodies."

Applicants wish to point out to the Examiner the Preliminary Amendment filed along with this Response. As explained in the Remarks of the Preliminary Amendment, Applicant has amended claims 1-4 and has added new claims 5-7. In accordance with the claims as pending following the Preliminary Amendment, Applicants hereby elect claims 2-4. In addition, Applicants respectfully request that the Examiner reconsider the Restriction Requirement on the basis that there is no additional burden to search and examine the two groups of claims that are currently pending.

Applicants respectfully submit that, if currently-pending claim 2 is found to be allowable, claims 1 and 5-7 will also be allowable as a matter of law. Thus, search and examination can proceed on the basis of currently-pending claim 2. Applicant respectfully submits that there is no additional burden on the part of the USPTO to keep claims 1 and 5-7 together with claims 2-4. MPEP § 803 provides that, if the search and examination of claims can be made without serious burden, the examiner **must** examine the claims on the merits, even if the application includes claims to independent or distinct inventions.

In addition, the MPEP provides for rejoinder of claims that are subject to a Restriction Requirement. MPEP § 821.04 provides that non-elected process claims can be rejoined after an

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elected product claim has been allowed, if the process claims depend on or otherwise include all the limitations of the allowable product claim. Applicant respectfully submits that this rule applies here. Therefore, it is proper to keep claims 1 and 5-7 together with claims 2-4. Applicant respectfully requests the withdrawal of the Restriction Requirement.

Applicants respectfully submit that they have addressed all of the Examiner's rejections. If any questions or issues remain that the Examiner believes would be advanced by a conference with Applicants' attorney, the Examiner is invited to contact the undersigned at the number below.

The one-month time period expires on November 1, 2002. Since this response is being filed within the one-month time period, no Petition For Extension of Time is necessary.

Therefore, Applicants respectfully submit that no fees are due in connection with this Response.

Respectfully submitted,

JENKENS & GILCHRIST, A Professional Corporation

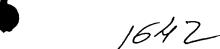
Ross T. Robinson

Reg. No. 47,031

1445 Ross Avenue, Suite 3200 Dallas, Texas 75202-2799 (214) 965-7300 (214) 855-4300 (fax)







PATENT APPLICATION DOCKET NO.: 53196-00002

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Vladimir Nikolaevich PAK et al.

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addressed to: Commissioner for Patents, Washington, D.C. 20231

AMENDMENT TRANSMITTAL LETTER

Dear Sir:

This is a response/amendment/letter in the above-identified application and includes the transmitted herewith attachment(s) of the same date and subject which is/are incorporated hereunto by reference. The signature below is to be treated as the signature to the attachment(s) in absence of a signature thereto.

Transmitted herewith in the above-identified application is/are:

- 1. Amendment Transmittal Letter;
- Response To Restriction Requirement; 2.
- Preliminary Amendment with attached Exhibits A and B; and 3.
- Acknowledgment Postcard. 4.



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verified	statement pr	eviously s	ubmitte	ed.							
A verifie	ed statement	claiming s	small er	ntity status	under 3	37 C	FR 1.9 an	d 1.27	is er	closed	1.
X No addi	tional fee is r	required.									
The Fee	for entering	the attach	ned Am	endment is	calcula	ted	below:				
	CLAIMS REMAINING AFTER AMENDMENT	HIGHE PREVIC PAID	USLY	PRESENT EXTRA	SMALL ENTITY RATE			LARGE ENTITY RATE			
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INDEP. CLAIMS	2	3		(at least 0)	x39	=	<u>OR</u>	x84	=	\$	-
FIRST PRESENTA (leave blank if this		ER MULTIPLE	DEPEND	DENT CLAIMS	+130	=	<u>OR</u>	+280	=	\$	-
	FEE FOR CLA	IM AMENDN	1ENTS							\$	
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	Assignment Recor	rdation Fee (\$4	0)							\$	-
	IF TERMINAL DISCLAIMER attached add Rule 20(d) Official Fee \$55 (Small Entity)						\$110 (Large Entity)		\$	-	
	Petition is hereb which the requisit			136(a) to extend	the <u>original</u>	due	date to cover the	e date this r	espon	se is filed	for
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